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NOTICE OF ALLOWANCE AND FEE(S) DUE

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7590

03/23/2010

HEWLETT-PACKARD COMPANY Intellectual Property Administration 3404 E. Harmony Road Mail Stop 35 FORT COLLINS, CO 80528

| EXAMINER | | | | | |
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| LY, ANH | | | | | |
| ART UNIT | PAPER NUMBER | | | | |
| 2162 | | | | | |

T.----

DATE MAILED: 03/23/2010

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/927,163 08/09/2001 John Wilkes 10006371-1 4638

TITLE OF INVENTION: SELF-DISENTANGLING DATA STORAGE TECHNIQUE

| I | APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| | nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 06/23/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

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If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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| 09/927,163 | 08/09/2001 | | John Wilkes | | | | 10006371-1 | 4638 | _ |
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| nonprovisional | NO | \$1510 | \$300 | _ | \$0 | | \$1810 | 06/23/2010 | |
| EXAM | INER | ART UNIT | CLASS-SUBCLASS | | | | | | |
| LY, A | ANH | 2162 | 707-204000 | | | | | | _ |
| Change of correspondence address or indication of "Fee Address" (37 FR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | (1) the names of up or agents OR, alterr (2) the name of a si registered attorney 2 registered patent a | mes of up to 3 registered patent attorneys DR, alternatively, me of a single firm (having as a member a attorneys or agent) and the names of up to d patent attorneys or agents. If no name is name will be printed. | | | | _ | |
| PLEASE NOTE: Unl recordation as set forti (A) NAME OF ASSIG | ess an assignee is identi h in 37 CFR 3.11. Comp GNEE | | data will appear on th T a substitute for filing (B) RESIDENCE: (Cl | e pat an a | tent. If an assignee ssignment. and STATE OR CO | UNTF | RY) | cument has been filed fo | |
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| Publication Fee (No small entity discount permitted) | | | Payment by credit | card | . Form PTO-2038 i | is attac | hed. | | |
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| a. Applicant claim | tus (from status indicated s SMALL ENTITY statu | is. See 37 CFR 1.27. | ☐ b. Applicant is no | | | | | | _ |
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| application. Confident bmitting the completed is form and/or suggesti | tiality is governed by 35 application form to the ons for reducing this but irginia 22313-1450. DC | U.S.C. 122 and 37 CFR USPTO. Time will vary den. should be sent to the | 1.14. This collection is depending upon the ir e Chief Information Of | esti: idivi: ficer | mated to take 12 mi dual case. Any com : U.S. Patent and Ti | inutes (iments radema | to complete, including on the amount of timerk Office, U.S. Depa | by the USPTO to process g gathering, preparing, and the you require to complet rtment of Commerce, P.Co or Patents, P.O. Box 1450 | d e |

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| 22879 | 7590 03/23/2010 | | EXAM | INER | |
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| | rty Administration | | ART UNIT | PAPER NUMBER | |
| 3404 E. Harmony | Road | | 2162 | | |
| Mail Stop 35 | | | DATE MAILED: 03/23/2010 | | |
| FORT COLLINS | , CO 80528 | | | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 534 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 534 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

| | Application No. | Applicant(s) | | | | |
|---|---|--|--|--|--|--|
| | 09/927,163 | WILKES, JOHN | | | | |
| Notice of Allowability | Examiner | Art Unit | | | | |
| | ANH LY | 2162 | | | | |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI | (OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to | olication. If not included will be mailed in due course. THIS | | | | |
| 1. This communication is responsive to <u>03/03/2010</u> . | | | | | | |
| 2. X The allowed claim(s) is/are <u>1-13, 15-17, 19-25, 27-30 and s</u> | 32-35 (renumbered as 1-31 <u>)</u> . | | | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority una) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: | been received. been received in Application No | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | | | | | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | | | | |
| (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached | | | | | | |
| 1) hereto or 2) to Paper No./Mail Date | | | | | | |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date | | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). | | | | | | |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. | | | | | | |
| | | | | | | |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material | 5. ☐ Notice of Informal Pa 6. ☐ Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amendn 8. ☑ Examiner's Stateme 9. ☐ Other | (PTO-413), e | | | | |
| | | | | | | |

Application/Control Number: 09/927,163 Page 2

Art Unit: 2162

DETAILED ACTION

1. This Office Action is response to Applicants' AMENDMENT filed on 02/04/2008.

- 2. Claims 4, 18, 26 and 31 were cancelled.
- 3. Claims 1-13, 15-17, 19-25, 27-30 and 32-35 are allowed.

Allowable Subject Matter

4. The following is an examiner's statement of reasons for allowance:

The present application has been thoroughly reviewed. Upon searching a variety of databases, the examiner respectfully submits that claims 1-13, 15-17, 19-25, 27-30 and 32-35 are allowed in light of the applicants' argument and in light of the prior arts of made record that combination of Alvarez, II et al (Pub. No.: US 2001/0054131 A1), Johnson et al. (US Patent No.: 5,813,009) and SATHYANARAYAN (Pub. No.: US 2002/0152194 A1) fail to teach wherein the requested data is presented by the second routine in the archival format, and the requested data is presented by the first routine in a file system format. (for claims 1-13, 27-28 and 34);

combination of Alvarez, II et al (Pub. No.: US 2001/0054131 A1), Johnson et al. (US Patent No.: 5,813,009) and SATHYANARAYAN (Pub. No.: US 2002/0152194 A1) fail to teach wherein the data is stored on the data storage medium in an archival format, wherein the request of the first request type is for data in the archival format, and wherein the request of the second request type is for data in a file system format,

Page 3

and wherein the second routine is configured to reformat accessed data from the archival format to the file system format. (for claims 15-21, 25, 29-30 and 33); and

combination of Alvarez, II et al (Pub. No.: US 2001/0054131 A1), Johnson et al. (US Patent No.: 5,813,009) and SATHYANARAYAN (Pub. No.: US 2002/0152194 A1) fail to teach wherein the request from the first target system type is a request for archival backup data in archival format, and wherein the request from the second target system type is a request for a file of a file system, and wherein the data stored on the computer usable medium is in the archival format. (for claims 22-24, 32 and 35).

The dependent claims, being definite, further limiting, and fully enabled by the specification are also allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Art Unit: 2162

Contact Information

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANH LY whose telephone number is (571) 272-4039 or via E-Mail: ANH.LY@USPTO.GOV (Written Authorization being given by Applicant (MPEP 502.03 [R-2])) or fax to (571) 273-4039 (unofficial fax number directly to Examiner's office). The examiner can normally be reached on TUESDAY – THURSDAY from 8:30 AM – 3:30 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene (571) 272-4107.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). Any response to this action should be mailed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or faxed to:

Central Fax Center: (571) 273-8300.

Anh Ly /AL/

Examiner GAU: 2162

MAR. 9th, 2010